

# INSIDE SIRIS<sup>®</sup>

NHCAA MONTHLY  
SIRIS<sup>®</sup> UPDATE

## January 2022

The information provided in *Inside SIRIS<sup>®</sup>* is purely for informational purposes and each company should analyze and verify their own data and facts before initiating an investigation or taking other action.

**Reminder: Provider Cases entered into SIRIS<sup>®</sup> will be expunged from the system automatically 6 years from either the date the record was first entered or the last date it was updated by the owner, whichever is later.**

- [SIRIS<sup>®</sup> Training Opportunities](#)
- [Monthly Statistics](#)
- [New Provider Cases](#)
- [New Law Enforcement RIAs](#)
- [SIRIS<sup>®</sup> Tips](#)
- [SIRIS<sup>®</sup> Admin Corner](#)

### SIRIS<sup>®</sup> TRAINING OPPORTUNITIES

Member Organization or Law Enforcement personnel who need SIRIS<sup>®</sup> training, assistance, or have questions regarding the [SIRIS<sup>®</sup> database](#), [Requests for Investigation Assistance](#) (RIAs), or other information-sharing activities should contact the NHCAA Anti-Fraud Initiatives team at [fraud@nhcaa.org](mailto:fraud@nhcaa.org).

### MONTHLY STATISTICS

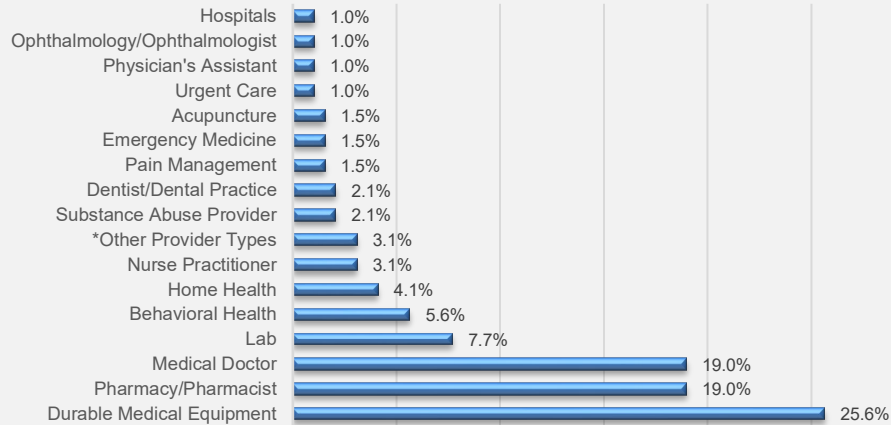
#### Provider Cases Entered: 195

- 23 of the 195 provider cases were reported to Departments of Insurance through NAIC's Online Fraud Reporting System (OFRS) using SIRIS<sup>®</sup>.

#### Schemes Entered: 0

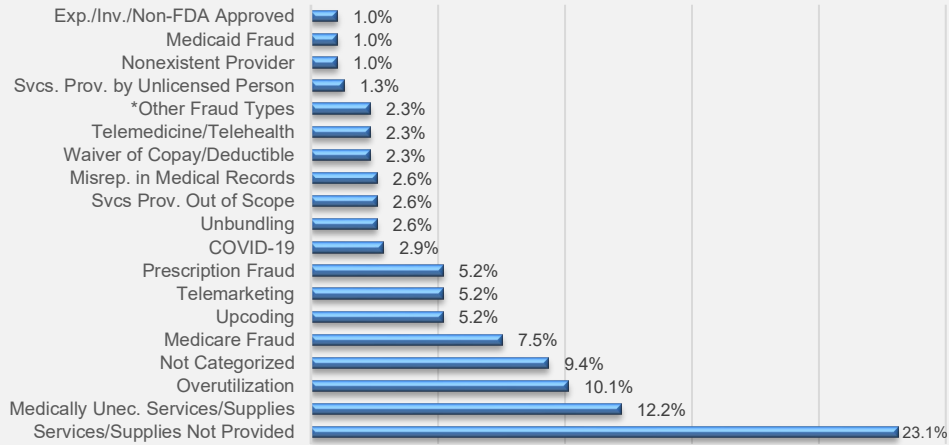
#### Requests for Investigation Assistance (RIAs) Entered: 4

## Type of Provider January 2022



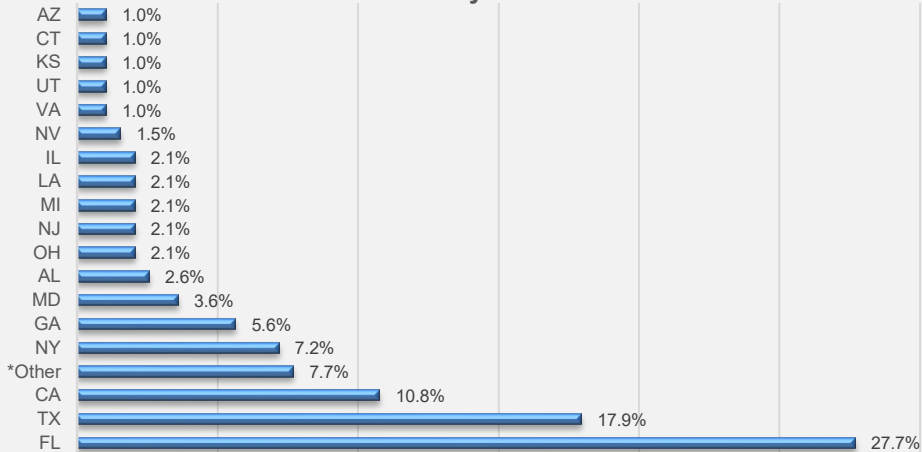
\*Other accounts for 6 provider types

## Type of Fraud January 2022



\*Other accounts for 4 types of fraud.

## Location of Activity January 2022



\*Other accounts for 15 states

## NEW PROVIDER CASES

### Acupuncture

State	Provider Case #	Type of Fraud	\$ Exposure
CA	9929623	Misrepresentations in Medical Record Provider Misrepresenting Self as Patient	\$1,467,980
CA	9929629	Overutilization Provider Misrepresenting Self as Patient Upcoding	\$1,206,445
CA	9929709	Misrepresentations in Medical Record Overutilization Provider Misrepresenting Self as Patient Services/Supplies Not Provided	\$612,855

### Behavioral Health

State	Provider Case #	Type of Fraud	\$ Exposure
AL	9929688	Overutilization	N/A
CA	9929597	Other	\$20,784
CA	9929600	Other	\$24,950
IL	9929728	Services/Supplies Not Provided	\$300,000
MI	9929543	Identity Theft Services/Supplies Not Provided	\$2,465
OR	9929670	Unbundling	\$139,221
TX	9929646	Overutilization	N/A
TX	9929680	Overutilization Prescription Fraud	N/A
TX	9929706	Services/Supplies Not Provided	\$35,840,978
VA	9929591	Overutilization	\$119,404
WI	9929598	Other	\$46,840

### Dentist/Dental Practice

State	Provider Case #	Type of Fraud	\$ Exposure
FL	9929550	Medically Unnecessary Services/Supplies Services/Supplies Not Provided Upcoding	\$1,179,589
FL	9929553	Medically Unnecessary Services/Supplies Services/Supplies Not Provided Upcoding	\$348,979
FL	9929684	Unbundling Upcoding	\$30,000
TX	9929628	Services/Supplies Not Provided	\$242

### Durable Medical Equipment

State	Provider Case #	Type of Fraud	\$ Exposure
AZ	9929718	Services Provided Outside Scope of Authority	N/A
CA	9929552	Medicare Fraud Services Provided by an Unlicensed Person or Entity Services/Supplies Not Provided	N/A
CA	9929560	Medicare Fraud Services/Supplies Not Provided	\$50,000
CA	9929639	Unbundling	\$914,497
CA	9929653	Medically Unnecessary Services/Supplies	\$978,000
FL	9929539	Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided	\$375

State	Provider Case #	Type of Fraud	\$ Exposure
FL	9929546	Medically Unnecessary Services/Supplies	N/A
FL	9929547	Medically Unnecessary Services/Supplies Overutilization Services/Supplies Not Provided Telemedicine/Telehealth	\$93,266
FL	9929555	Medicare Fraud Services/Supplies Not Provided	N/A
FL	9929556	Medicare Fraud Services/Supplies Not Provided	\$30,000
FL	9929557	Medically Unnecessary Services/Supplies Telemarketing	\$4,222
FL	9929567	Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided	\$646
FL	9929568	Medically Unnecessary Services/Supplies Services/Supplies Not Provided	\$66,050
FL	9929569	Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided	\$673
FL	9929571	Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided	\$1,224
FL	9929573	Services/Supplies Not Provided	N/A
FL	9929607	Identity Theft Medically Unnecessary Services/Supplies Medicare Fraud Telemarketing	N/A
FL	9929622	Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided	\$2,766
FL	9929625	Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided	\$2,075
FL	9929627	Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided	\$3,084
FL	9929633	Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided	\$9,783
FL	9929635	Medically Unnecessary Services/Supplies Services/Supplies Not Provided Telemarketing	\$14,382
FL	9929649	Medicare Fraud Services/Supplies Not Provided	N/A
FL	9929656	Medically Unnecessary Services/Supplies Services/Supplies Not Provided Telemarketing	\$58,363
FL	9929662	Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided	N/A
FL	9929672	Services/Supplies Not Provided Telemarketing	\$154,400
FL	9929693	Services/Supplies Not Provided	N/A
FL	9929697	Kickback Scheme Medically Unnecessary Services/Supplies Services/Supplies Not Provided	\$212,200
FL	9929700	Medically Unnecessary Services/Supplies Telemarketing	\$17,360
FL	9929703	Medically Unnecessary Services/Supplies Services/Supplies Not Provided	\$192,100

State	Provider Case #	Type of Fraud	\$ Exposure
FL	9929705	Medically Unnecessary Services/Supplies Medicare Fraud Telemarketing	\$586
FL	9929707	Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided Telemarketing	\$402
FL	9929714	Medically Unnecessary Services/Supplies Medicare Fraud	\$10,857
FL	9929715	Medicare Fraud Services/Supplies Not Provided	\$21,000
FL	9929719	Medicare Fraud Services/Supplies Not Provided	\$17,000
FL	9929721	Medicaid Fraud Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided	\$109,405
GA	9929579	Services/Supplies Not Provided	N/A
GA	9929619	Medicare Fraud Services/Supplies Not Provided Telemarketing	N/A
GA	9929683	Services/Supplies Not Provided	N/A
KS	9929654	Services/Supplies Not Provided	\$94,548
LA	9929710	Medically Unnecessary Services/Supplies Telemarketing	\$1,042
MS	9929548	Medically Unnecessary Services/Supplies Services/Supplies Not Provided Telemarketing Telemedicine/Telehealth	\$22,113
NJ	9929561	Services/Supplies Not Provided	\$33,000
NV	9929695	Services/Supplies Not Provided Telemarketing	\$7,660
NY	9929541	Nonexistent Provider Services/Supplies Not Provided	N/A
NY	9929565	Medically Unnecessary Services/Supplies Medicare Fraud	\$537
NY	9929576	Medically Unnecessary Services/Supplies Medicare Fraud Services/Supplies Not Provided	\$974
OK	9929545	Medicare Fraud Other Overutilization Prescription Fraud Telemarketing	\$4,451
TX	9929648	Experimental and Investigational / Non-FDA Approved Medically Unnecessary Services/Supplies	\$12,938
TX	9929679	Medically Unnecessary Services/Supplies Overutilization Services/Supplies Not Provided Telemarketing Waiver of Co-pay or Deductible	\$500,000

## Emergency Medicine

State	Provider Case #	Type of Fraud	\$ Exposure
LA	9929592	Upcoding	\$38,842
TX	9929682	Medically Unnecessary Services/Supplies Overutilization Upcoding	\$4,166,806
TX	9929725	Upcoding	\$1,501,712

## Home Health

State	Provider Case #	Type of Fraud	\$ Exposure
FL	9929577	Medicaid Fraud Misrepresentations in Medical Record Upcoding	\$1,629,179
FL	9929663	Upcoding	\$88,000
IA	9929540	Medicaid Fraud Services/Supplies Not Provided	\$9,886
LA	9929664	Other Unbundling	\$214,000
MD	9929636	Misrepresentations in Medical Record Services/Supplies Not Provided	\$372,928
MN	9929666	Other Overutilization Upcoding	\$90,000
NJ	9929614	Services/Supplies Not Provided	\$3,300
TX	9929665	Other Services/Supplies Not Provided Upcoding	\$15,000

## Hospital

State	Provider Case #	Type of Fraud	\$ Exposure
TX	9929658	Medically Unnecessary Services/Supplies Unbundling	\$4,586,105
TX	9929711	Medically Unnecessary Services/Supplies Overutilization	\$2,311,286

## Lab

State	Provider Case #	Type of Fraud	\$ Exposure
AZ	9929720	COVID-19	N/A
CA	9929641	COVID-19	\$14,450
CA	9929643	COVID-19	\$15,854,090
FL	9929551	COVID-19 Misrepresentations in Medical Record	N/A
FL	9929590	Other	\$33,652
GA	9929650	COVID-19 Medically Unnecessary Services/Supplies	\$56,000
GA	9929657	Medically Unnecessary Services/Supplies Services/Supplies Not Provided	\$340,885
GA	9929676	Services/Supplies Not Provided	N/A
NV	9929544	Services/Supplies Not Provided	N/A
NY	9929701	COVID-19	N/A
OH	9929578	Services/Supplies Not Provided	N/A
TX	9929704	Experimental and Investigational / Non-FDA Approved Medically Unnecessary Services/Supplies Other Telemarketing	\$144,432
TX	9929713	COVID-19 Nonexistent Provider Services/Supplies Not Provided	N/A
UT	9929644	Medically Unnecessary Services/Supplies Services/Supplies Not Provided	\$15,645
VA	9929584	Medically Unnecessary Services/Supplies	\$1,370

## Medical Doctor

State	Provider Case #	Type of Fraud	\$ Exposure
AL	9929631	Overutilization	\$393,646
AL	9929667	Overutilization	\$368,473
CA	9929651	Prescription Fraud	N/A
CT	9929594	COVID-19	\$15,604
DC	9929564	Overutilization Prescription Fraud	N/A
FL	9929583	Overutilization	\$66,943
FL	9929616	Overutilization	\$387,567
FL	9929617	Prescription Fraud Services/Supplies Not Provided	\$117,413
FL	9929655	Overutilization	\$414,399
FL	9929726	Services/Supplies Not Provided Telemedicine/Telehealth	\$68,609
GA	9929589	Experimental and Investigational / Non-FDA Approved Overutilization	\$22,491
GA	9929694	Medically Unnecessary Services/Supplies Telemedicine/Telehealth	N/A
HI	9929563	Prescription Fraud	N/A
KS	9929632	Telemedicine/Telehealth	N/A
LA	9929691	Overutilization	\$224,638
MD	9929596	Unbundling Upcoding	\$8,926
MD	9929610	Waiver of Co-pay or Deductible	N/A
MD	9929626	Overutilization	N/A
MO	9929689	Overutilization	N/A
NJ	9929645	COVID-19 Services Provided by an Unlicensed Person or Entity Services/Supplies Not Provided	\$368,068
NJ	9929681	Other	N/A
NY	9929586	Waiver of Co-pay or Deductible	N/A
NY	9929599	Medicare Fraud Upcoding	\$8,000
NY	9929602	Medicare Fraud	\$9,000
NY	9929686	Overutilization	N/A
OH	9929604	Overutilization Telemedicine/Telehealth	N/A
OK	9929660	Other Services/Supplies Not Provided	N/A
TN	9929605	Overutilization	N/A
TX	9929542	Overutilization	\$111,384
TX	9929595	Other	\$39,914
TX	9929606	Misrepresentations in Medical Record Other Upcoding	\$40,726
TX	9929612	Experimental and Investigational / Non-FDA Approved Medically Unnecessary Services/Supplies Unbundling	\$61,795
TX	9929677	Upcoding	\$991,304
TX	9929685	Unbundling	\$252,991
TX	9929724	Overutilization Telemedicine/Telehealth	\$34,519
TX	9929732	Other Services/Supplies Not Provided	\$82
WA	9929702	Overutilization Prescription Fraud	\$116,819

## Nurse Practitioner

State	Provider Case #	Type of Fraud	\$ Exposure
CA	9929580	Overutilization	N/A
CA	9929624	Overutilization Telemedicine/Telehealth	N/A
CT	9929603	Overutilization	\$65,770
MD	9929570	Overutilization Prescription Fraud	\$2,032
OH	9929620	Medically Unnecessary Services/Supplies Overutilization	\$33,080
OH	9929661	Overutilization	\$88,948

## Ophthalmology/Ophthalmologist

State	Provider Case #	Type of Fraud	\$ Exposure
GA	9929572	Services/Supplies Not Provided	\$54,073
TX	9929696	Medically Unnecessary Services/Supplies	\$626,436

## Pain Management

State	Provider Case #	Type of Fraud	\$ Exposure
FL	9929562	Overutilization	N/A
MD	9929652	Overutilization Prescription Fraud	N/A
TX	9929678	Medically Unnecessary Services/Supplies Overutilization	\$2,000,000

## Pharmacy/Pharmacist

State	Provider Case #	Type of Fraud	\$ Exposure
AL	9929574	Waiver of Co-pay or Deductible	\$129,696
AL	9929575	Waiver of Co-pay or Deductible	\$888,843
CA	9929640	Services/Supplies Not Provided	\$90,691
CA	9929647	Other	N/A
CA	9929722	Other Services/Supplies Not Provided	N/A
CA	9929727	Other Services/Supplies Not Provided	N/A
CA	9929729	Other Services/Supplies Not Provided	N/A
CA	9929731	Other Services/Supplies Not Provided	N/A
FL	9929549	Prescription Fraud	\$34,640
FL	9929581	Other	N/A
FL	9929582	Other	N/A
FL	9929585	Other	N/A
FL	9929608	Services/Supplies Not Provided	N/A
FL	9929642	Other	N/A
FL	9929669	Other	N/A
FL	9929733	Other	N/A
GA	9929554	Other Services/Supplies Not Provided	\$258,755
IL	9929716	Prescription Fraud Services/Supplies Not Provided Telemarketing	\$514,509
MI	9929637	Medicaid Fraud Medicare Fraud Waiver of Co-pay or Deductible	\$24,446
MI	9929638	Prescription Fraud	\$81,533
MI	9929687	Other	N/A



State	Provider Case #	Type of Fraud	\$ Exposure
NC	9929698	Waiver of Co-pay or Deductible	\$5,893
NY	9929566	Prescription Fraud Services/Supplies Not Provided	\$256,339
NY	9929615	Prescription Fraud	\$65,004
NY	9929659	Other Services/Supplies Not Provided	N/A
NY	9929699	Other Services/Supplies Not Provided	N/A
NY	9929730	Other	N/A
PA	9929621	Other Services/Supplies Not Provided	N/A
TX	9929559	Other Self-Referral	N/A
TX	9929593	Services/Supplies Not Provided	\$4,605
TX	9929601	Services/Supplies Not Provided	\$128,487
TX	9929618	Prescription Fraud Services/Supplies Not Provided Telemarketing Waiver of Co-pay or Deductible	\$84,537
TX	9929668	Other	N/A
TX	9929673	Prescription Fraud Services/Supplies Not Provided	\$337,868
TX	9929674	Prescription Fraud Services/Supplies Not Provided	\$925,647
TX	9929675	Prescription Fraud Services/Supplies Not Provided	\$57,851
TX	9929717	Prescription Fraud Services/Supplies Not Provided Telemarketing	\$529,254

### Physician's Assistant

State	Provider Case #	Type of Fraud	\$ Exposure
NV	9929611	Overutilization	\$375,568
TX	9929692	Medically Unnecessary Services/Supplies Services Provided by an Unlicensed Person or Entity Services Provided Outside Scope of Authority Services/Supplies Not Provided	\$1,439,219

### Substance Abuse Provider

State	Provider Case #	Type of Fraud	\$ Exposure
CO	9929613	Misrepresentations in Medical Record Unbundling	\$6,358,899
FL	9929634	Other Self-Referral	\$1,000,000
GA	9929587	Unbundling	\$527,281
UT	9929588	Other	\$665,232

### Urgent Care

State	Provider Case #	Type of Fraud	\$ Exposure
IL	9929712	COVID-19	\$714
TX	9929708	Services Provided Outside Scope of Authority Upcoding	\$13,508,555

## Other Provider Types

Provider Type	ST	Provider Case #	Type of Fraud	\$ Exposure
Ambulatory Surgical Center	MD	9929609	Services/Supplies Not Provided Waiver of Co-pay or Deductible	N/A
Chiropractor	IL	9929630	Svcs. Prov. by Unlicensed Person/Entity	\$100
Massage Therapy	CA	9929558	Svcs. Prov. by Unlicensed Person/Entity	\$8,174
Occupational Therapy/ Occupational Therapist	TX	9929690	Services/Supplies Not Provided	\$7,190,755
Other Practitioners	NY	9929671	Medically Unnecessary Services/Supplies Misrepresentations in Medical Record Services/Supplies Not Provided Telemarketing Telemedicine/Telehealth	\$107,300
Physical Therapy/ Physical Therapist	FL	9929723	Services/Supplies Not Provided	N/A

## NEW LAW ENFORCEMENT RIAs

### Request for Investigation Assistance (RIA)

The RIA process provides law enforcement agencies with the ability to reach scores of private health insurers in one simple step for assistance in health care fraud investigations. The identification of additional fraud victims and dollars lost due to fraud are important elements in the investigation process. Please [click here](#) to view the procedures to submit an RIA.

During the month of January, **four RIAs** were submitted by law enforcement, and notifications were sent to authorized SIRIS® users\*.

State	RIA #	Provider Type
CA	1361	Substance Abuse Provider
CA	1363	Substance Abuse Provider
CA	1365	Pharmacy/Pharmacist
FL	1367	Dentist/Dental Practice

*SIRIS® users authorized to access RIAs is restricted to Membership Forum Representatives and/or their delegates whose organization has signed an Information-Sharing Agreement with NHCAA.*

## SIRIS® TIPS

- **Provider Cases vs. Schemes:** A **SIRIS Provider Case** is intended to enter information on a provider that is suspected of fraudulent behavior. A **SIRIS Scheme** is intended to capture the behavior of multiple providers, not the actual provider themselves. Therefore, a SIRIS Scheme should not include the provider name or identification numbers, that information is collected in a SIRIS Provider Case. Please note that when adding or editing a scheme, SIRIS users can link a related SIRIS Provider Case to the scheme by completing the **Related SIRIS Provider Case #s** field.
- **Remain Active in SIRIS:** Sign in at least once every 90 days to avoid suspension. SIRIS will send an email notification 14 days prior to deactivation to remind the inactive user to sign on.
- **Suspended?** Users' access to SIRIS® will automatically suspend if a user enters an incorrect password more than 3 times, or if a user has not signed in to SIRIS® in more than 90 days. Suspended users who want their SIRIS® ID reactivated should submit their request to NHCAA at [fraud@nhcaa.org](mailto:fraud@nhcaa.org).

## SIRIS® ADMIN CORNER

- **Why am I unable to reset some User IDs?** As a security precaution, SIRIS suspends users who have had too many failed login attempts (more than 4 times) or when a user has not accessed SIRIS in more than 90 days. When suspension occurs, the user is notified on screen that their ID has been suspended. SIRIS Admins cannot reset suspended SIRIS IDs. Users that have been suspended can send an email to [fraud@nhcaa.org](mailto:fraud@nhcaa.org) to request a reactivation.
- **Removing Access to SIRIS:** To remove staff from SIRIS, admins should follow these steps:
  1. Uncheck all permissions, including "Can Access SIRIS."
    - a. *If the user is an Admin or has access to the RIA module be sure to contact [NHCAA](mailto:fraud@nhcaa.org) to remove these permissions.*
  2. If the SIRIS user also has an Accurant account be sure to remove the individual from SIRIS first, then from Accurant.
- **Transfer Records to a Different SIRIS User:** SIRIS Admins have the ability to transfer records from one SIRIS user to another SIRIS user within their company. From the Manage Users tab in the admin module, find the user you wish to transfer the records from and then click on "T" under the Actions column. On the next screen choose the SIRIS User you wish to transfer the records to ensure the "Change Contact" box is checked, and then click the Transfer button. This feature is great when SIU investigators leave the company or department and their records need to be reassigned to another individual.